WEBINAR:

COMPLIANCE 101: HITRUST OVERVIEW WITH 2023 UPDATE

Presented by:

Omkar Salunkhe, Controlcase Partner, HITRUST

Kishor Vaswani, ControlCase Founder

Speakers

Omkar Salunkhe,

ControlCase Partner, HITRUST

Having worked for ControlCase for the past 8 years, Omkar is now the HITRUST Partner, a Subject Matter Expert who oversees all of ControlCase clients' HITRUST Certifications globally.

Kishor Vaswani,

ControlCase Founder

Kishor founded ControlCase in 2004 and scaled it through its expansion to more than 1,000 customers in 40 countries.

Agenda

A. Introduction to ControlCase
B. What is HITRUST?
C. Latest Updates to HITRUST
D. Types of HITRUST Assessments
E. HITRUST Domains
F. ControlCase Methodology
G. Q&A

A. Introduction to ControlCase

ControlCase Snapshot

CERTIFICATION AND CONTINUOUS COMPLIANCE SERVICES

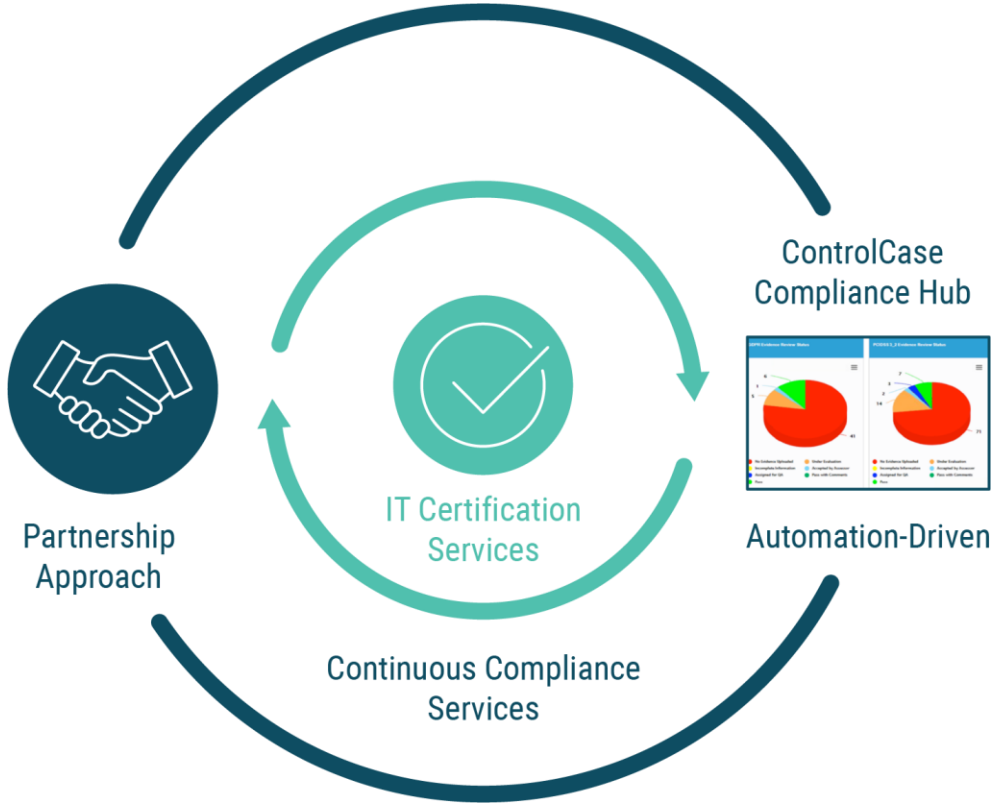
Go beyond the auditor's checklist to:

Dramatically cut the time, cost, and burden from becoming certified and maintaining IT compliance.

- Demonstrate compliance more efficiently and cost effectively (cost certainty)
- Improve efficiencies
  - Do more with less resources and gain compliance peace of mind
- Free up your internal resources to focus on their priorities
- Offload much of the compliance burden to a trusted compliance partner

Solution

Certification and Continuous Compliance Services



I've worked on both sides of auditing. ***I have not seen any other firm deliver the same product and service with the same value****. No other firm provides that continuous improvement and the level of detail and responsiveness.*

— Security and Compliance Manager,
Data Center

Certification Services

One Audit™

Assess Once. Comply to Many.


PCI DSS


ISO 27001
& 27002


SOC 1,2,3 & SOC
for Cybersecurity


HITRUST CSF


HIPAA


PCI P2PE


GDPR

NIST CSF Risk
Assessment

PCI PIN


PCI SSF


FedRAMP


PCI 3DS

> *You have 27 seconds to make a first impression. And after our initial meeting,* ***it became clear that they were more interested in helping our business and building a relationship, not just getting the business****.*
>
> — Sr. Director, Information Risk & Compliance, Large Merchant

B. WHAT IS HITRUST?

What is HITRUST?

Founded in 2007 to help companies safeguard sensitive data and manage risk.	Established a certifiable framework for organizations that create, access, store, or exchange covered or sensitive information.	Originated from the belief that information security is critical to the widespread utilization of and confidence in health information systems, medical technologies, and electronic exchanges of medical data. Now, the HITRUST CSF is industry agnostic.

What is the HITRUST CSF?

HITRUST CSF

The HITRUST CSF Framework (CSF) rationalizes and harmonizes relevant data protection regulations and standards into a single overarching security and privacy framework. The HITRUST CSF:

- Allows organizations the ability to tailor their security control baselines based on their specific information security requirements.
- Incorporates both compliance and risk management principles.
- Defines a process to effectively and efficiently evaluate compliance and security risk.
- Supports HITRUST Certification.

Key components of the CSF assurance program

Standardized Tools & Processes

Questionnaire

- Focus assurance dollars to efficiently assess risk exposure
- Measured approach based on risk and compliance
- Ability to escalate assurance level based on risk

Report

- Output that is consistently interpreted across the industry

Rigorous Assurance

- Multiple assurance options based on risk
- Quality control processes to ensure consistent quality and output across HITRUST External Assessors
- Streamlined and measurable process within the HITRUST MyCSF tool
- End User support

C. LATEST UPDATES TO HITRUST

What are the 2023 HITRUST Updates?

Summary of Changes v11

Added selectable Compliance factors and refreshed various mappings to authoritative sources

Moved evaluative elements from the Policy Illustrative Procedure to the Requirement Statement

Updated Illustrative Procedure Content

Assorted errata updates consistent with the CSF Versioning Policy

New Certification: e1 Assessment

Basic cybersecurity hygiene

44 requirement statements

Annual certification

Quicker assurance

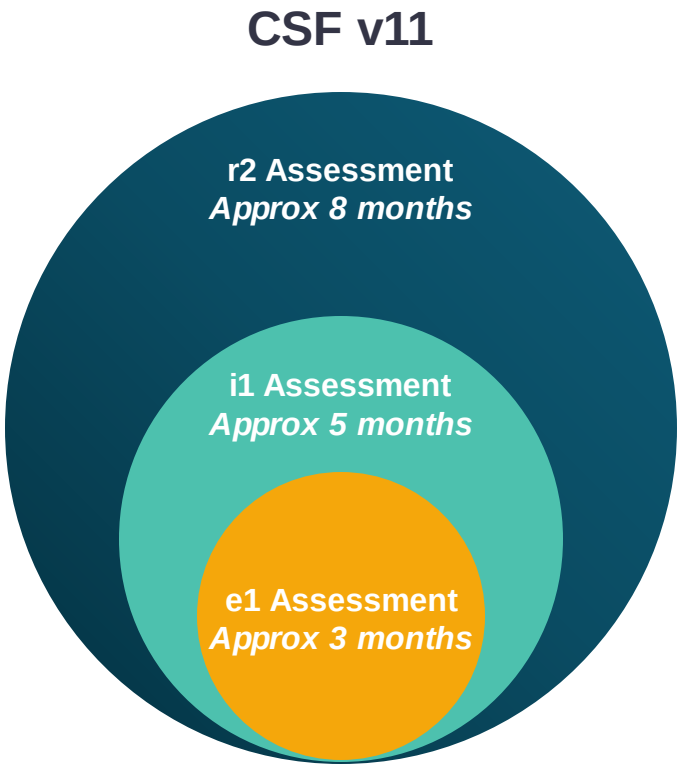
D. TYPES OF HITRUST ASSESSMENTS

Types of HITRUST Assessments

Assessment Type	# of HITRUST Requirements	Subject Matter / Focus	Control Maturity Levels
HITRUST Essentials e1 Assessment *(valid for 1 year)*	44 Requirement Statements	**Requirements addressing:** • Basic cybersecurity hygiene • The most critical cyber threats (e.g., ransomware, phishing, password stuffing)	Implemented only But: Some requirements are P&P-focused
HITRUST Implemented i1 Assessment *(valid for 1 year)*	182 (v11) 219 (v9.6.2)	**All requirements in the e1, PLUS:** • Leading cybersecurity practices • Requirements mapping to the even more cyber threats	Implemented only But: Some requirements are P&P-focused
HITRUST Risk-Based r2 Assessment *(valid for 2 years)*	Varied based on risk and compliance factors Average 375	**All requirements in the e1 and i1, PLUS:** • Requirements addressing inherent risk factors • Requirements addressing added compliance factors (e.g., HICP, GDPR)	Must: Policy, Procedure, Implemented Optional: Measured & Managed

Assessment Sub-type	Can Result in a Certification?	Needs an External Assessor?	QA'd by HITRUST?	Share-able via RDS?	Results in a HITRUST-issued PDF?
Readiness	No	No	No	Yes	Optional
Validated	Yes	Yes	Yes	Yes	Yes

Types of HITRUST Assessments



HITRUST®

For v11, HITRUST has aligned the selection of requirement statements used for the e1 assessment, i1 assessment, and r2 assessment baseline, so that each assessment builds upon the core requirement statements that are included in the e1 assessment.

E. HITRUST DOMAINS

What are the HITRUST domains?

Information Protection Program	Configuration Management	Access Control	Business Continuity & Disaster Recovery
Endpoint Protection	Vulnerability Management	Audit Logging & Monitoring	Risk Management
Portable Media Security	Network Protection	Education, Training and Awareness	Physical & Environmental Security
Mobile Device Security	Transmission Protection	Third Party Assurance	Data Protection & Privacy
Wireless Security	Password Management	Incident Management	

F.

CONTROLCASE METHODOLOGY

ControlCase Methodology for HITRUST Validated Assessment

ControlCase will follow a **6-PHASE APPROACH** for the HITRUST Assessment

1

- Customer purchases MyCSF Subscription.
- ControlCase helps to finalize scope and build the assessment.

2

- ControlCase assigns an independent readiness consultant to guide customer to provide required HITRUST evidence.
- Based on the collected evidence, the consultant also helps the customer in scoring, as per HITRUST requirements.

3

- Customer purchases validated assessment from HITRUST once ready.
- ControlCase helps customer to identify a submission date and complete the reservation for HITRUST QA.

4

- HITRUST Validated Assessment: Independent ControlCase auditor (HITRUST CCSFP) completes the validated assessment and required testing.
- Documentation for CAPs.

5

- ControlCase Quality Assurance
- Engagement Executive Review
- ControlCase moves evidence to MyCSF

6

- Submit to HITRUST
- HITRUST QA
- Final Certified/ Validated Report

High-Level HITRUST Certification Plan (r2 Validated Assessment)

Phase/Month	Month 1	Month 2	Month 3	Month 4	Month 5	Month 6	Month 7	Month 8 onwards
Phase 1	CC/Customer							
Phase 2	CC/Customer	CC/Customer	CC/Customer	CC/Customer				
Phase 3				CC/Customer				
Phase 4					CC	CC	CC	
Phase 5							CC/Customer	
Phase 6							CC - Submission to HITRUST	HITRUST Quality Assurance

High-Level HITRUST Certification Plan (i1 Validated Assessment)

Phase/Month	Month 1	Month 2	Month 3	Month 4	Month 5	Month 6 onwards
Phase 1	CC/Customer					
Phase 2	CC/Customer	CC/Customer	CC/Customer			
Phase 3			CC/Customer			
Phase 4				CC	CC	
Phase 5					CC/Customer	
Phase 6					CC - Submission to HITRUST	HITRUST Quality Assurance

High-Level HITRUST Certification Plan (e1 Validated Assessment)

Phase/Month	Month 1	Month 2	Month 3	Month 4 onwards
Phase 1	CC/Customer			
Phase 2	CC/Customer	CC/Customer		
Phase 3		CC/Customer		
Phase 4			CC	
Phase 5			CC/Customer	
Phase 6			CC - Submission to HITRUST	HITRUST Quality Assurance

G. Q & A

THANK YOU FOR THE OPPORTUNITY TO CONTRIBUTE TO YOUR IT COMPLIANCE PROGRAM.

www.controlcase.com

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